



Firms are required to renew their registrations with FINRA, other self-regulatory organizations (SROs) and states/jurisdictions during the Annual Renewal Program, which begins in the fourth quarter of each year. FINRA collects all applicable renewal fees on behalf of itself and participating regulators, which enables firms to submit their total renewal payment to FINRA instead of each regulator.

FINRA 2025 Renewal Program Key Dates!

October 14, 2024	Begin Preparing Post-Dated Forms U5 and BR Closing/Withdrawal Filings with Termination Date of December 31st!
November 1, 2024	Begin Preparing Post-Dated Forms BDW and ADV-W with Termination Date of December 31st!
November 11, 2024	Retrieve, Review and Schedule Payment for the Preliminary Statement in E-Bill!
December 9, 2024	If you have not Prepaid your Renewal Fees, Ensure Full Payment is Available in Renewal or Flex-Funding Account by This Date!
December 26, 2024	Submit Filings and Payments Before 6 P.M. EST. The CRD/IARD System Shuts Down for the Year at 6 P.M.!
January 2, 2025	Retrieve and Schedule Payment for the Final Statement in E-Bill!
January 24, 2025	Ensure Discrepancies are Reported or Balance is Paid!